

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: SHIELDS et al. CONFIRMATION NO.: 5695  
APPLICATION NO.: 09/779,114 GROUP NO.: 3693  
FILING DATE: February 8, 2001 EXAMINER: Patel, Jagdish  
TITLE: SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE  
PURSUANT TO STOCK OPTION AND PURCHASE PLANS

**REQUEST FOR RECONSIDERATION OF PETITION UNDER 37 CFR 1.47(a)**

Mail Stop PETITION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants hereby request reconsideration of their petition under 37 C.F.R. § 1.47(a). Reconsideration is requested in view of (i) this renewed petition including proof of the pertinent facts establishing that the non-signing inventors, Robert H. Maurer and Michael Cranor, continue to refuse in joining the above-referenced patent application and (ii) submission of newly executed declarations by Lawrence P. Shields, Amod Bhargava, Keith Carsten, George Brewster, James A. Humza, and James P. Mooney (the "Applicants") in compliance with 37 C.F.R. § 1.63 and § 1.64. The Commissioner is hereby authorized to charge all fees due, including the fee set forth in 37 C.F.R. § 1.47(a) and § 1.17(g), to Attorney's Deposit Account No. 16-2500. The last known addresses of non-signing inventors are as follows:

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| Robert H. Maurer<br>2 Crabtree Lane<br>Levittown, New York 11756 | Michael Cranor<br>1705 Blackjack Drive<br>Round Rock, TN 78681 |
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On June 13, 2001, the Applicants filed a petition under 37 C.F.R. § 1.47(a) in support of the filing of the above-referenced patent application on behalf of non-signing inventors, Robert H. Maurer ("Maurer") and Michael Cranor ("Cranor").

On March 5, 2007, almost six years later, the Office of Petitions dismissed the petition stating that the petitioners failed to (i) establish that Maurer and Cranor refused to join in the filing of the application and (ii) present an oath or declaration for the patent application in compliance with 37 C.F.R. § 1.63 and § 1.64 (hereinafter the "Dismissal"). Specifically, with respect to item (i), the Dismissal asserts that the statement of facts does not establish that a complete copy of the application was presented to the non-signing inventors. With respect to item (ii), the Dismissal asserts that the original declaration signed by the Applicants fails to include citizenship information for Maurer and Cranor and omits a signed declaration from Amod Bhargava. A copy of the Dismissal is attached hereto as Exhibit A.

On December 21, 2007, we forwarded to each of the last known addresses for Maurer and Cranor, respectively, (i) a copy of the application papers, namely the specification, drawings, abstract, and the claims as allowed, (ii) a declaration for execution in compliance with 37 C.F.R. § 1.63 and § 1.64, (iii) an assignment form, (iv) a cover letter, and (v) a self-addressed stamped envelope in which to return the executed documents. The cover letter and the attached documents were delivered by UPS Next Day Air (Adult Signature Required). Based on information from UPS' online shipment tracking system, Maurer and Cranor received their respective document packages on December 24, 2007. Copies of the document packages as delivered, along with confirmation of UPS delivery, are provided hereto as Exhibits B and C.

In their respective cover letters, we requested that Maurer and Cranor return the executed assignment and declaration forms back to us before January 18, 2008. Furthermore, we expressly stated the following:

"Please note that it is our understanding that at the time this application was originally filed in 2001 you refused to sign the Declaration and Assignment. For this reason, if we do not receive any response back from you by January 18, 2008, we will consider it a constructive refusal to sign and we will petition the United States Patent and Trademark Office to file these documents on your behalf."

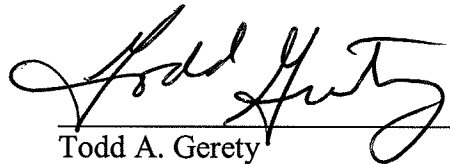
To date, we have received no response from Maurer and Cranor. Thus, although Maurer and Cranor have received the application papers and they have been given more than a reasonable amount of time within which to review such papers, they continue to refuse to sign the declaration to join in the above-referenced patent application with the other inventors. Applicants respectfully request that this renewed petition be granted.

The executed declarations for the other inventors, Lawrence P. Shields, Amod Bhargava, Keith Carsten, George Brewster, James A. Humza, and James P. Mooney, are attached hereto as Exhibit D. Applicants note that these newly executed declarations correct the deficiencies with the declarations as originally filed.

If the Examiner believes that a conversation with Applicants' representative would be helpful in expediting a decision on this petition, the Examining Attorney is invited to call the undersigned at the number below.

Date: March 31, 2008

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